## CERTIFICATE OF MAILING OR TRANSMISSION

I he by certify that this correspondence is being deposited with the United States Postal Service with sufficient postage arst class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, or

facsimile transmitted to the U.S. Patent and Trademark Office.

Name (Print/Type)	Suzanne M. Cotugno	Fax # (if faxed)		#
Signature	Sugarne M. Cotagix	Date	1 FEB CIZ	

Attorney's Docket No. 106388

PATENT OF PARISINALLY ELL

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
JAMES F. McGEHEE et al.	) ) ) Art Unit: 1712
Serial No. 10/011,334	) Art Offit. 1712
Filed: December 3, 2001	)
PROCESS AND APPARATUS FOR COOLING POLYMER IN A REACTOR	)

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

**Assistant Commissioner for Patents** Washington, DC 20231

Attention: Box Missing Parts

In response to the Notice to File Missing Parts of Nonprovisional Application dated January 4, 2002, the Declaration for the above-identified application is being submitted at this time.

A fee transmittal form, as well as authorization for credit card payment of the required fee, are attached.

Respectfully submitted,

James C. Paschall Attorney for Applicants

Reg. No. 36,887

(847) 391-2355 (phone)

(847) 391-2387 (fax)



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

# **EE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

	•	١	3	

(\$)

Complet if Known				
Application Number	10/011,334			
Filing Date	December 3, 2001			
First Named Inventor	James F. McGehee et al.			
Examiner Name				
Group Art Unit	1712			
Attorney Docket No.	106388			

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated lees and credit any overpayments to.	Large Small					
Deposit Account	Entit		• •	# - D.V.		
Number	Fee Fee Code (\$)	Fee Fee Code (\$)		Fee Paid		
Deposit Account Name	105 130	205 65	Surcharge - late filling fee or oath	130		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50	227 25	Surcharge - late provisional filling fee or cover sheet			
Applicant claims small entity status.	139 130	139 130	Non-English specification			
See 37 CFR 1.27	147 2,520	147 2,520	For filing a request for ex parte reexamination			
2. Payment Enclosed:  Check C Credit card Money Other	112 920*	112 920	<ul> <li>Requesting publication of SIR prior to Examiner action</li> </ul>			
FEE CALCULATION	113 1,840°	113 1,840	0* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110	215 55	Extension for reply within first month			
Large Entity Small Entity	116 400	216 200	Extension for reply within second month			
Fee Fee Fee Fee Description	117 920	217 460	Extension for reply within third month			
0008 (s) 0000 (s)	118 1,440	218 720	Extension for reply within fourth month			
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	128 1,960	228 980	Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320	219 160	Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320	220 160	Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280	221 140	Request for oral hearing			
	138 1,510	138 1,510	Petition to institute a public use proceeding	i		
SUBTOTAL (1) (\$) 0	140 110	240 55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141 1,280	241 640	Petition to revive - unintentional			
Fee from Extra Claims <u>below</u> <u>Fee Paid</u>	142 1,280	242 640	Utility issue fee (or reissue)			
Total Claims20** = X18 =	143 460	243 230	Design issue fee			
Independent . 3** = X 84 =	144 620	244 310	Plant issue fee			
Multiple Dependent =	122 130	122 130	Petitions to the Commissioner			
	123 50	123 50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Description	126 180	126 180	Submission of Information Disclosure Strnt			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40	581 40	Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid	149 740	249 370	For each additional invention to be			
109 84 209 42 ** Reissue independent claims over original patent			examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179 740 169 900	279 370 169 900	Request for Continued Examination (RCE)  Request for expedited examination			
<u></u>	1		of a design application			
SUBTOTAL (2) (\$) 0	Other fee (s	pecify) 148	3 Statutory Disclaimer (\$110)	120		
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130				130		

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	James C. Paschall /	Registration No. (Altomey/Agent)	36,887	Telephone	(847) 391-2355
Signature	James Lowery			Date	January 30, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.







Page 1 of 1

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.usato.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/011.334

DES PLAINES, IL 60017-5017

12/03/2001

James F. McGehee

106388

23490 JOHN G TOLOMEI, PATENT DEPARTMENT **UOP LLC** 25 EAST ALGONQUIN ROAD P O BOX 5017

**CONFIRMATION NO. 5407 FORMALITIES LETTER** \*OC000000007264740\*

Date Mailed: 01/04/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 RHARISI 00000004 10011334